

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Group Art Unit: 3761

ALEX STENZLER

Examiner: To Be Assigned

Serial No.: 10/622,188

Filed: July 17, 2003

PULMONARY DRUG DELIVERY

DEVICE

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Commissioner for Patents P.O. Box 1450 Arlington, VA 22313-1450

Sir:

For:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, the items identified in the attached Information Disclosure Statement ("IDS") form PTO-1449 are brought to the attention of the Office. Additionally, in accordance with 37 C.F.R. §1.98(d), copies of the references are not submitted as they have already been submitted in the parent application, U.S. Patent Application Serial No. 09/650,491, from which the present application claims priority under 35 U.S.C. §120.

The items identified in this IDS may or may not be "material" pursuant to 37 C.F.R. § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that I have a reasonable basis to expect that this paper (along with any referred to as being attached or enclosed) will be deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Arlington, VA 22313-1450.

Date of Deposit

Melody K. Gutierrez

patent, publication or other information referred to therein is material or considered to be material (37 C.F.R. § 1.97(h)), or even qualifies as "prior art" under 35 U.S.C. § 102 with respect to this invention unless specifically designated by Applicant as such.

This IDS is believed to be timely in that it is being submitted under 37 C.F.R. § 1.97(b)(3), that is before the mailing of a first Office action on the merits. Thus, no petition or fee is required. However, if the undersigned is in error in this regard, then it is requested that the Office consider this IDS as filed under 37 C.F.R. § 1.97(c), and charge Sidley Austin Brown & Wood's Deposit Account No. **50-1597** for any fees necessitated by this filing.

Respectfully submitted,

Reg. No. 47,997

SIDLEY AUSTIN BROWN & WOOD

Dated:

555 West Fifth Street, Suite 4000

Los Angeles, California 90013

(213) 896-6000

Customer No. 34492

FORM PTO-1449

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.	SERIAL NO.
23086-81003	10/622,188
APPLICANT:	
Alex Stenzler	
FILING DATE:	GROUP:
July 17, 2003	3761

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FEB 2		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB . CLASS		ING ATE
TRAI	AA	4,294,407	10/1981	REICHL ET AL.	239	102	12/19	79
	AB	4,300,546	11/1981	KRUBER	128	200.16	11/19	79
	AC	4,334,531	6/1982	REICHL ET AL.	128	200.14	6/198	0
	AD_	4,801,086	1/1989	NOAKES	239	3	5/198	8
	AE	4,877,989	10/1989	DREWS ET AL.	310	323	1/198	9
···	AF	4,984,158	1/1991	HILLSMAN	364	413.04	10/19	88
	AG	5,020,527	6/1991	DESSERTINE	128	200.23	2/199	D
	АН	5,134,993	8/1992	VAN DER LINDEN ET AL.	128	200.14	12/19	39
	AI	5,167,506	12/1992	KILLIS ET AL.	434	262	10/19	91
	AJ	5,247,842	9/1993	KAUFMAN ET AL.	73	865.5	9/199	l
•	AK	5,261,601	11/1993	ROSS ET AL.	239	102.2	7/1992	2
ı	AL	5,280,784	1/1994	KOHLER	128	200.14	11/199	92
			FOREIGN	N PATENT DOCUMENTS		-		
EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANS YES	NO
	AM	EP 0,210,956	2/1987	EP	128	200.21		
	AN	2,164,569	3/1986	Great Britain	128	200.21		
	AO	2,218,831	11/1989	Great Britain	128	204.23		
		OTHER DOCUME	ENTS (Includ	ding Author, Title, Date, Pertine	nt Pages, etc.	.)		
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EXAMINER:	DATE CONSIDERED:
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EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

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EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE	ì
1	BA_	5,284,133	2/1994	BURNS ET AL.	128	200.23	7/1992	
	BB	5,333,106	7/1994	LAMPHER ET AL.	364	413.01	10/1992	
	ВС	5,363,842	11/1994	MISHELEVICH ET AL.	128	200.14	12/1991	
	BD	5,392,768	2/1995	JOHANSSON ET AL.	128	204.14	1/1993	
	BE	5,404,871	4/1995	GOODMAN ET AL.	128	204.14	3/1991	
-	BF	5,452,711	9/1995	GAULT	128	200.14	3/1993	
	BG	5,487,378	1/1996	ROBERTSON ET AL.	128	200.16	10/1993	
	вн	5,551,416	9/1996	STIMPSON ET AL.	128	200.16	5/1994	
	BI	5,551,951	9/1996	FRADKIN	601	115	10/1994	
•	ВЈ	5,688,232	11/1997	FLOWER	604	20	9/1995	
	BK	5,737,666	4/1998	LIOR ET AL.	399	57	4/1996	
/	BL	5,813,614	9/1998	COFFEE	239	690	9/1996	
			FOREIGN	PATENT DOCUMENTS				
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EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE	
<u>.</u>	CA	5,838,002	11/1998	SHEEHAN	250	288	8/1996	
	СВ	5,875,776	3/1999	VAGHEFI	128	203.15	4/1996	
 .	CC	5,935,099	8/1999	PETERSON ET AL.	604	65	1/1997	
	CD	5,950,619	9/1999	VAN DER LINDEN ET AL.	128	200.16	9/1997	
	CE	6,017,318	1/2000	GAUTHIER ET AL.	600	578	12/1997	
	CF	6,026,809	2/2000	ABRAMS ET AL.	128	203.15	1/1996	
	CG	6,070,761	6/2000	BLOOM ET AL.	222	81	8/1997	
·	СН	6,435,175	8/2002	STENZLER	128	200.14	8/2000	
	CI	6,615,825	9/2003	STENZLER	128	200.14	6/2002	
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